



## General disclosures

Planetary Impact Ventures consider the impact of our investments on the climate and environment. It is therefore essential for us that our investments aren't involved in business that we consider to be harmful.

The goal of this questionnaire is to identify potential harmful business practices, as well as to facilitate a dialogue on the sustainability risks of your business and how to mitigate them.

Please answer the questionnaire below. The questionnaire consists of 4 sections:

- **Industries:** Whether you are involved in specific industries
- **Practices:** Whether you are involved in specific practices
- **Penalties:** Whether you have any formal complaints
- **Supply chain:** Questions about your supply chain

If you select 'Yes' to any disclosure, please write an explanation.

<b>Industries</b>			
Please indicate if your company is involved in the production, operation, trade, or sale of any the following (also select "Yes" if your company serves clients in this industry):	<b>Yes</b>	<b>No</b>	<b>Explanation:</b>
Alcohol	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Tobacco	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Gambling	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Firearms, weapons, or munitions	<input type="checkbox"/> Yes	<input type="checkbox"/> No	



Pornography	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Payday, Short Term, or High Interest Lending	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Fossil-fuel-based oil, natural gas, or coal extraction, distribution, sale, etc.	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Mining	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Nuclear Power, radioactive materials or hazardous materials	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Prisons	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Whole Life Insurance (Select "Yes" only if the company earns <b>80%+ of its revenue</b> from whole life insurance products.)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Animal Products or Services	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Genetically Modified Organisms	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Pesticides or synthetic fertilizers	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Products or activities that are illegal under country laws or regulations where they have operated, banned in international conventions or agreements, or subject to international phase-out or regulation	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Industries reliant upon materials at high risk of human rights infringements (e.g., conflict minerals)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	



Other Industries that may cause social or environmental harm or are subject to stakeholder criticism or concern	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
---	------------------------------	-----------------------------	--



## Practices

<b>Please indicate if your company engages in any of the following practices:</b>	<b>Yes</b>	<b>No</b>	<b>Explanation:</b>
Company is not formally registered in accordance with all relevant regulations and requirements  If your company is a formally registered business, select "No."	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Company uses corporate shells or other structural means, such as establishing multiple corporate entities, to minimize tax payments	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Company operates in conflict zones	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Company sells or provides access to consumer or user data	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Company facilities are located adjacent to or in sensitive ecosystems	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Company has taken a public stance against unionization, has engaged in activities that may be perceived as taking a stance against union organizing, or prohibits workers from freely associating and bargaining collectively for the terms of one's employment	<input type="checkbox"/> Yes	<input type="checkbox"/> No	



Company employs individuals on zero-hour contracts	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Company uses workers who are prisoners	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Company employs workers under the age of 15 (or other minimum work age covered by the International Labour Organization Convention No. 138) and/or company does not keep personnel records that include evidence of the date of birth of each	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Overtime work is compulsory and exceeds 48 hours in a week	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Other sensitive practices that may cause social or environmental harm, or are subject to stakeholder concern	<input type="checkbox"/> Yes	<input type="checkbox"/> No	

## Disclosure Outcomes & Penalties

<b>Please indicate if your company has had a formal complaint to a regulatory agency or been assessed a fine or sanction in the past five years for any of the following:</b>	<b>Yes</b>	<b>No</b>	<b>Explanation:</b>
Infringing on Indigenous people's rights, for instance by utilizing lands owned or used by Indigenous peoples	<input type="checkbox"/> Yes	<input type="checkbox"/> No	



without full documented consent of such peoples			
Other penalties, complaints, or grievances filed or levied against the company for negative impacts on local communities, human rights, or other stakeholder concerns	<input type="checkbox"/> Yes	<input type="checkbox"/> No	

## Supply Chain

<b>Please indicate if any of the following statements are true regarding your company's suppliers:</b>	<b>Yes</b>	<b>No</b>	<b>Explanation:</b>
Suppliers in Conflict Zones	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Practices or outcomes that produced substantial negative impacts regarding human rights, labor conditions, or local communities	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Practices or outcomes that produced substantial negative environmental impact	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
You have your suppliers sign a code of business ethics	<input type="checkbox"/> Yes	<input type="checkbox"/> No	